BUSINESS ARCHITECTURE IN ACTION: RISK AND COMPLIANCE MANAGEMENT AT-A-GLANCE

Common Challenges

- The comprehensive impact of new or changing regulations may be hard to assess.
- Changes to the business or technology may inadvertently impact compliance or introduce new risks.
- There is typically no enterprise level framework for assessing and communicating potential risks and compliance issues.
- Demonstrating risk mitigation or compliance may be challenging as things are not always written down.
- Solutions for addressing risk and compliance may be implemented in disjointed ways across products and business units, leading to increased cost and poor customer experience.

Opportunities with Business Architecture

- Enable a broad set of impact analysis related to risk and compliance.
- Provide an enterprise framework to assess and communicate risks and compliance issues.
- Understand and address changing regulatory obligations.
- Provide clarity on how the organization works.
- Translate product strategies into a coordinated set of actionable initiatives.

How We Do It

1. Identify scope and goals for product-related analysis.
2. Create or leverage the minimum business architecture baseline content (capabilities, value streams and cross-mapping).
3. Capture additional content needed for analysis —
   a. For example, capture the policies in scope and cross-map them to other domains such as capabilities, business units and products. Also make sure your capabilities are cross-mapped to any other things domains you may need such as value streams and operating model aspects such as system applications and processes.
4. Perform your analysis (e.g., to perform impact analysis, identify areas for improvement, etc).
5. Visualize the results, share insights and take action.

Considerations

- The definition of "policy" in business architecture includes both external policies (e.g. regulations) and internal policies (e.g. business rules).
- A baseline of capabilities and value streams are a pre-requisite before policy mapping.
- Varying levels of detail may be captured for policy mapping depending on the intended use.

s2e transformation